

BOARD OF DENTAL EXAMINERS OF ALABAMA
ADMINISTRATIVE CODE

CHAPTER 270-X-4
MISCELLANEOUS

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270-X-4-.01 Professional Corporations And Professional Associations.

(1) Professional Corporations.

(a) This rule is promulgated pursuant to the Revised Alabama Professional Corporation Act, Code of Ala. 1975, §§10-4-380, et seq. This rule shall apply to professional corporations formed after January 1, 1984, by dentists licensed to practice dentistry in the State of Alabama and all professional corporations formed prior to January 1, 1984, which are governed by the provisions of the above-referenced portion of the Code of Ala. 1975, and those professional associations formed prior to January 1, 1984 which amend their Articles of Association as provided in Code of Ala. 1975, §10-4-403(b).

(b) Any dentist or group thereof licensed to practice dentistry in the State of Alabama who desires to render dental professional services as a corporation in this state shall comply with the provisions of Code of Ala. 1975, §10A-4-1-.01, et seq. and this rule.

(c) The names of professional corporations shall be governed by the provisions of Code of Ala. 1975, §10A-1-5.08. If the corporate name of the professional corporation utilizes the name or names of the dentist(s) who are employees of or shareholders in the professional corporation, then such corporate name shall include the designation "D.M.D." or "D.D.S." whichever is appropriate. In addition, the following requirements shall be applicable to professional corporations:

1. Practicing dentists forming or who formed a professional corporation may practice under a name other than the one(s) of the participating dentist(s) only if the following are met:

(i) That the name so selected should not suggest a non-profit or charitable activity or be false, fraudulent, misleading, or deceptive.

(ii) That the name of the dentist(s) and the words "professional corporation" or the abbreviation "P.C.," as required by Code of Ala. 1975, §10A-1-5.08, shall be displayed with similar prominence as the name so selected.

(d) Every professional corporation formed after January 1, 1984, shall file with the Board of Dental Examiners of Alabama a certified copy of the Articles of Incorporation and the Certificate of Authority, a complete list of the names and addresses of the shareholders and any and all subsequent amendments to the Articles of Incorporation, changes of ownership of any shares in the professional corporation or changes in the business address of the professional corporation.

(e) All professional corporations in existence on the effective date of the act and all professional associations which are hereinafter governed by the provisions of the act shall file a certified copy of all amendments to the Articles of Incorporation or Articles of Association with the Board of Dental Examiners of Alabama and a copy of any changes of ownership of any shares in the professional corporation or

changes in the business address of the professional corporation or association.

(f) Every professional corporation or professional association governed by the provisions of the act shall file with the Board of Dental Examiners of Alabama a certified copy of any Articles of Dissolution or Articles of Merger or Consolidation with another professional corporation or association.

(g) All filings shall be within thirty (30) days of the effective date of the instrument or document filed.

(h) In addition to the requirements of Code of Ala. 1975, §10A-4-3.01, no shares may be transferred upon the books of the professional corporation or issued by the professional corporation until there is presented to and filed with the corporation a certificate by the Board of Dental Examiners of Alabama stating that the individual to whom the transfer is to be made or the shares issued is a qualified person as defined by Code of Ala. 1975, §10A-4-1.03(6).

(i) In addition to the requirements of Code of Ala. 1975, §10A-4-3.06, no officers or members of the board of directors of a professional corporation who are not licensed to practice dentistry shall participate in any decision constituting the practice of dentistry.

(j) A foreign professional corporation rendering dental professional services in the State of Alabama shall, in addition to the requirements of Code of Ala. 1975, §10A-4-5.02, be subject to the following:

1. All shareholders of a foreign professional corporation who render dental professional services in Alabama shall be dentists licensed to practice dentistry in the State of Alabama.

(k) The Board of Dental Examiners of Alabama may propound interrogatories to any professional corporation under the provisions of Code of Ala. 1975, §10A-4-5.05.

(l) The Board of Dental Examiners of Alabama may request that the Attorney General initiate involuntary dissolution procedures against a professional corporation under the provisions of Code of Ala. 1975, §10A-4-5.01. In addition, the Board may certify to the Secretary of State the names of any

foreign professional corporation which has given cause for revocation of its certificate of authority under the provisions of Code of Ala. 1975, §10A-4-5.03.

(m) Every professional corporation subject to the provisions of the act rendering dental professional services shall file with the Board of Dental Examiners of Alabama a copy of the annual report required by Code of Ala. 1975, §10A-4-5.04. Financial or confidential information contained in the annual report may be disclosed or made public pursuant to the provisions of Code of Ala. 1975, §10A-4-5.04(b).

(2) Professional Associations. The following shall apply to professional associations who do not elect to become subject to the provisions of the Revised Alabama Professional Corporation Act, Code of Ala. 1975, §§10A-4-1.01:

(a) Professional associations may practice under a name other than the one(s) of the participating dentist(s) only if the following requirements are met:

1. That the name of the participating dentist(s) shall appear following or beneath the name selected.

2. That the designation, "Professional Association" or the abbreviation "P.A." shall appear either following or beneath the name so selected.

3. That the name so selected should not suggest a non-profit or charitable activity or be false, fraudulent, misleading or deceptive.

4. That the name of the dentist(s) and the designation "professional association" or the abbreviation "P.A." shall be displayed with similar prominence as the name so selected.

Author: James S. Ward

Statutory Authority: Code of Ala. 1975, §§10A-1-5-.08, 10A-4, et seq., 34-9-2; 34-9-19; 34-9-43.

History: Filed September 28, 1982. **Repealed and new rule adopted in lieu thereof:** Filed November 15, 1984. **Amended:** Filed July 21, 2009; effective August 25, 2009. **Amended:** Filed February 22, 2012; effective March 28, 2012.

270-X-4-.02 Approval Required For Utilization Of Unlicensed Individuals By Institutions.

(1) Pursuant to the provisions of Code of Ala. 1975, §34-9-43(5), any clinical dental facility of any institution, school, or college where dental care is provided by dentists, dental hygienists, faculty members, students, interns, residents, or other individuals not duly licensed in Alabama, will be required to obtain APPROVED STATUS from the Board under the following procedure:

(a) The parent institution, school or college seeking APPROVED STATUS will be required to furnish the Board a detailed written description of the facility and its activities. This document shall include such items as location of the clinic; names and license (teaching permit) numbers of staff members; name and responsibility of all persons who perform any services defined as dentistry/dental hygiene by Code of Ala. 1975, §§34-9-6, 34-9-27; source of and number of patients; services that will be rendered; copies of applicable grants or grant requests; description of quality control mechanisms; description of any research or experimental activities and such other data that the Board may deem necessary or pertinent.

(b) Any new clinical dental facility must request APPROVED STATUS from the Board at least six (6) months prior to the commencement of operations.

(c) The Board requires immediate notification before any change is made in an existing facility which has APPROVED STATUS.

(d) The Board or its authorized representative(s) will conduct onsite inspections of all APPROVED facilities and those seeking APPROVED STATUS whenever such action is deemed necessary by the Board.

(e) The Board may issue a temporary permit authorizing an APPROVED facility to employ an unlicensed graduate dentist provided that such dentist:

1. Has met the requirements of Board Rule 270-X-2-.01.

2. Will work under the direct supervision of a staff dentist duly licensed in Alabama.

3. Has made application to take the next licensure examination. This temporary permit shall be valid only until date of the next annual examination and shall not be renewable. Fees paid by such dentist as defined in Code of Ala. 1975, §34-9-16, shall not be refundable.

(f) Each facility with APPROVED STATUS must request renewal approval on an annual basis. The Board will compile and distribute annually a list of these facilities that have APPROVED STATUS and those which have requested APPROVED STATUS.

(g) The Board may withdraw APPROVED STATUS of any clinical dental facility if it deems such action shall be necessary or in the best interest of the people of this state.

Author: James S. Ward

Statutory Authority: Code of Ala. 1975, §§34-9-2, 34-9-43.

History: Filed September 28, 1982. **Amended:** Filed February 22, 2012; effective March 28, 2012.

270-X-4-.03 Approval For Training, Educational, Technical, Vocational, Or Any Other Institution Providing Instruction For Dental Assistants. Pursuant to the provisions of Code of Ala. 1975, §34-9-43(5), any training, educational, technical, vocational, or any other institution which provides instruction for dental assistants shall be required to obtain APPROVED STATUS from the Board of Dental Examiners of Alabama (hereinafter "Board"), if they wish to be listed as an approved program for the ADHP prerequisites, under the following procedure:

(1) For Board approval, any training, educational, technical, vocational or any other institution (hereinafter collectively referred to as "institution") shall meet the applicable requirements and standards for such instruction as approved or adopted by the American Dental Association, a copy of these requirements and standards being available to the said institutions upon request to the secretary-treasurer of the Board.

(2) In determining whether the instruction at the institution meets and satisfies the requirements and standards for the same then in effect, the Board or any authorized representative(s) may conduct onsite inspections and examinations of the institution and may require the institution to submit any written information or material which the Board or

its authorized representative(s) may deem necessary and appropriate. If the institution fails or refuses to allow the Board or its authorized representative(s) to conduct onsite inspections and examinations or refuses or fails to submit, after notice, and within a reasonable period of time to be determined by the Board or its authorized representative(s), any required written information or material, the Board shall deny the institution APPROVED STATUS and give the institution notice thereof in writing.

(3) If the Board determines that the instruction provided by the institution meets the requirements and standards of the Board then in effect for the same, the institution shall be so notified by the Board in writing as soon as practicable.

(4) If the Board determines that the instruction provided by the institution does not meet the requirements and standards of the Board then in effect for the same, the Board shall so notify the institution in writing as soon as practicable, said notice to include at least the following:

(a) The reasons why the instruction at the institution did not meet or satisfy the requirements or standards of the Board then in effect.

(b) The specific requirements or standards that the institution failed to meet or satisfy.

(c) A reasonable period of time to be determined by the Board or its authorized representative(s) in which the institution can correct the deficiencies so noted or otherwise satisfy or meet the Board's requirements or standards then in effect.

(5) If the institution fails to meet or satisfy the requirements or standards of the Board then in effect after notice and opportunity as provided in subsection (4) above, the Board shall deny the institution APPROVED STATUS.

(6) The Board may withdraw APPROVED STATUS of an institution providing instruction if it deems such action shall be necessary or in the best interest of the people of this state or to protect the health, safety, or welfare of the people in this state.

(7) Each institution with APPROVED STATUS must request in writing renewal approval on an annual basis. In

deciding whether to grant any renewal, the Board may utilize any of the procedures contained in subsections (1) through (5) above.

Author: James S. Ward

Statutory Authority: Code of Ala. 1975, §§34-9-2, 34-9-43.

History: Filed September 28, 1982. **Amended:**

February 14, 2005; effective March 21, 2005. **Amended:** Filed

February 22, 2012; effective March 28, 2012. **Amended:** Filed

April 17, 2013; effective May 22, 2013.

270-X-4-.04 Mandatory Continuing Education For Dentists And Dental Hygienists.

(1) NUMBER OF HOURS.

(a) Dentists shall complete twenty (20) hours of continuing education every year as a condition of licensure renewal. No more than one-half (1/2) of the hours can be completed or satisfied by analog and/or digital media, journals, publications, internet courses, correspondence courses, or distance-based education whether by video or audio format. It shall be the responsibility of each dentist to submit on an annual basis an affidavit or other form approved and provided by the Board of Dental Examiners of Alabama to demonstrate compliance with this requirement. The required hours must be completed in the twelve (12) month period beginning October 1 of each year and ending September 30 of the next year. The period shall begin on the date of the initial certification or on the date of any subsequent recertification. The specific dental continuing education requirements are as follows:

(i) Dentists must maintain current certification in cardiopulmonary resuscitation (CPR) at the basic or advanced support level through the American Heart Association, American Red Cross, or an equivalent program. During the two-year CPR certification term, a dentist may apply only four (4) hours of CPR training to their annual twenty (20) hour requirement in the renewal period during which they were earned.

(ii) Dentists must maintain current certification in infectious disease control as it relates to the dental office or to the practice of dentistry. Dentists must achieve a minimum of one (1) hour of Continuing Education in the subject of infectious disease control every two (2) years.

(iii) Dentists must achieve a minimum of one (1) hour of Continuing Education in the subject of prescribing controlled substances every two (2) years.

(iv) Dentists who hold an Oral Conscious Sedation Permit must also complete a minimum of two (2) hours of Continuing Education in the subject of sedation and/or anesthesia every two (2) years.

(v) The combined number of hours of continuing education training in the course areas of insurance, governmental regulations, and tort liability and/or risk management shall not exceed a total of four (4) hours for the annual renewal period.

(vi) Practice management courses shall not exceed a total of three (3) hours for the annual renewal period.

At least half of all continuing education must be attributed to the practice of dentistry. All hours earned for continuing education must be submitted in the renewal period during which they were earned.

(b) Dental Hygienists shall complete twelve (12) hours of continuing education every year as a condition of licensure renewal. No more than one-half (1/2) of the hours can be completed or satisfied by analog and/or digital media, journals, publications, internet courses, correspondence courses or distance-based education whether by video or audio format. It shall be the responsibility of each dental hygienist to submit on an annual basis an affidavit or other form approved and provided by the Board of Dental Examiners of Alabama to demonstrate compliance with this requirement. The required hours must be completed in the twelve (12) month period beginning October 1 of each year and ending September 30 of the next year. The period shall begin on the date of the initial certification or on the date of any subsequent recertification. The specific dental hygiene continuing education requirements are as follows:

(i) Dental hygienists must maintain current certification in cardiopulmonary resuscitation (CPR) at the basic support level through the American Heart Association, American Red Cross, or an equivalent program. This requirement must be satisfied by completing an in-person training course. During the two-year CPR certification term, a dental hygienist may apply only two (2) hours of CPR training to their annual requirement in the renewal period during which they were earned.

(ii) Dental hygienists must maintain current certification in infectious disease control as it relates to the dental office or to the practice of dentistry. Dental hygienists must achieve a minimum of one (1) hour of Continuing Education in the subject of infectious disease control every two (2) years.

(iii) The combined number of hours of continuing education training in the course areas of insurance, governmental regulations, and tort liability and/or risk management shall not exceed a total of four (4) hours for the annual renewal period.

(iv) Practice management courses shall not exceed a total of three (3) hours for the annual renewal period.

At least half of all continuing education must be attributed to the practice of dental hygiene. All hours earned for continuing education must be submitted in the renewal period during which they were earned.

(c) Dentists/dental hygienists will be allowed up to four (4) hours of credit for the twelve-month period for pro bono charitable work performed within the State of Alabama. Any such work by a dental hygienist must be under the direct supervision of a dentist licensed in the State of Alabama. One (1) hour of continuing education credit shall be awarded for every two (2) hours of service.

(d) Licensees who have graduated or completed a dental residency in the year of their initial licensure shall only be required to accrue and prove compliance with required hours of continuing education through the end of the subsequent year following licensure. Thereafter said licensees shall comply with continuing education requirements set forth above. However, said licensees must be able to prove compliance with this rule regarding CPR and infectious disease control certifications.

(2) RECORD KEEPING, REPORTING, AND MONITORING.

(a) It is the responsibility of each dentist/dental hygienist to maintain and compile accurate records relating to all continuing education courses or activities they have attended and completed. Accurate records shall mean a certification or evidence of attendance at any continuing education courses. It shall be the responsibility of each

dentist/dental hygienist to maintain the above described documentation and information pertaining to each year for a period of two (2) years and this information shall be submitted to the Board of Dental Examiners of Alabama within thirty (30) days after a request for the same by the Board.

(b) Each dentist/dental hygienist must submit during the license renewal period of each calendar year, evidence or documentation of satisfactory completion of the required hours of continuing education provided for in these rules. This information shall be submitted on an affidavit and/or other means to be approved and provided by the Board of Dental Examiners of Alabama. Upon receipt of this information it shall be reviewed by the Board of Dental Examiners of Alabama and the dentist/dental hygienist shall then be notified that either:

1. They have fulfilled the requirements of these rules and their annual registration will be issued, or

2. They will be notified there are deficiencies or non-compliance with these rules and they will be subject to a non-disciplinary administrative penalty as set forth in Board Rule 270-X-5.09(1)(e).

(c) Any dentist/dental hygienist who receives notification that they will be subject to a non-disciplinary administrative penalty because of non-compliance with these rules may appeal in writing to the Board of Dental Examiners of Alabama within Thirty (30) days from the date of the Board's notification to them of their non-compliance. Upon the receipt of the written appeal, the Board may request the submission of additional information or records or may require the dentist/dental hygienist to appear before the Board in connection with the appeal.

(3) AUDITING. The Board of Dental Examiners of Alabama may randomly audit the continuing education documentation or information to be maintained or submitted by each dentist/dental hygienist as described herein to assure compliance with these rules. Failure to maintain the documentation or information set forth in these rules, the submission of false or misleading information or documentation to the Board, or failure to submit requested documentation or information within the time specified by the Board may subject the dentist/dental hygienist to a non-disciplinary administrative penalty.

(4) WAIVER. Upon written request to the Board of Dental Examiners of Alabama, and upon the demonstration of good and sufficient cause, the Board may grant a waiver or extension of time for the completion of the annual hour requirements for continuing education as set forth herein. Any such request must be received by the Board prior to September first (1st) of the year in which the waiver or extension applies. Any such request received after the above referenced date will be considered untimely and denied. The dentist/dental hygienist who seeks such a waiver or extension shall submit to the Board any documentation required by the Board which the Board deems appropriate for it to make a decision concerning that waiver or extension.

(5) CRITERIA FOR APPROVAL OF CONTINUING EDUCATION.

(a) Continuing dental education may include, but is not limited to, attendance at lectures, study clubs, college and post graduate courses, scientific sessions of conventions, research, graduate studies, teachings, service as a clinician, analog and/or digital media, journals, internet courses, publications, or correspondence courses. Continuing education programs may include, but are not limited to, programs that address any of the following:

1. Competency in treating patients who are medically compromised or who experience medical emergencies during the course of dental treatment;

2. Knowledge of pharmaceutical products and the protocol of the proper use of medications or controlled substances;

3. Competency to diagnose oral pathology;

4. Awareness of currently accepted methods of infection control;

5. Basic medical and scientific subjects including but not limited to biology, physiology, pathology, biochemistry and pharmacology, analgesia, diet and nutrition, microbiology, anatomy, dental anatomy, microscopic anatomy, chemistry, organic chemistry, and neurology;

6. Clinical and technological subjects including but not limited to techniques and procedures in general dentistry or recognized specialties, dental materials and equipment,

diagnosis and treatment planning, asepsis and sterilization techniques and radiology;

7. Subjects pertinent to health and safety including but not limited to public health problems, communicable diseases, emergency care, cardiopulmonary resuscitation, advanced life support, patient stress management, the laws and rules governing the practice of dentistry/dental hygiene, and ethical considerations in the practice of dentistry/dental hygiene; and

8. Insurance, governmental regulations and tort liability and/or risk management.

(b) Programs or courses that focus on the following areas cannot be considered acceptable or satisfactory continuing education:

1. Personal finances;
2. Presentations by political or public figures or other persons who do not deal primarily with dental or dental hygiene practice;
3. Personal motivational courses; and
4. Basic educational or cultural subjects not directly related to the practice of dentistry/dental hygiene, outside the scope of the dentist/dental hygienist's practice or inconsistent with the dentist/dental hygienist's scope of practice.

(c) One (1) hour of continuing education credit shall be given for each hour of attendance and an hour of attendance shall be defined as exceeding fifty (50) minutes. Two (2) hours of continuing education credit shall be given for each hour taught by a dentist/dental hygienist at a continuing education program provided, however, that no more than one-half (1/2) of the annual required hours can be completed or satisfied in this manner. A dentist/dental hygienist cannot attain more than eight (8) hours of continuing education credit for any one day.

(d) Programs meeting the general requirements of paragraph 5(a) above may be developed and offered to dentists/dental hygienists by any of the following agencies or organizations:

1. National, state, district or local dental/dental hygiene associations;

2. Accredited dental/dental hygiene colleges or schools.

(e) Courses may be approved by the Board, or its designee, if the following five (5) criteria are submitted to the Board at least two months in advance of a course's being presented (the Board will not approve courses in retrospect):

1. Didactic/clinical subject matter;

2. Lecture participation;

3. Outline objectives;

4. Hours commensurate with time spent; and,

5. Presenter qualifications.

(f) The Board of Dental Examiners of Alabama shall have the right to monitor or audit any course or program to determine whether that course or program is in compliance with these rules.

(6) LICENSEES EXCUSED FROM CONTINUING EDUCATIONAL REQUIREMENTS.

(a) Any dentist/dental hygienist licensed in the State of Alabama but practicing outside the State of Alabama; however, if such person returns to the State of Alabama, and desires to practice dentistry/dental hygiene, he/she shall then be required to submit evidence to the Board of Dental Examiners of Alabama prior to being allowed to practice, that they have attended and completed within the preceding five (5) years continuing education courses or programs which comply with these rules in a number of hours not less than twenty (20) nor more than One Hundred (100).

(b) Dentists enrolled fulltime in a postgraduate specialty training or residency program at a dental school accredited by the American Dental Association's Commission on Dental Accreditation.

(c) Dental hygienists enrolled fulltime in an academic program directly related to dentistry/dental hygiene, including but not limited to the Alabama Dental Hygiene Program.

(d) Any dentist/dental hygienist who has permanently retired from the practice of dentistry/dental hygiene; however, if said person desires to return to the active practice of dentistry/dental hygiene, then he/she shall then be required to submit evidence to the Board of Dental Examiners of Alabama, prior to being allowed to practice, that they have attended and completed within the preceding five (5) years continuing education courses or programs which comply with these rules.

(e) Dentists/dental hygienists who are not practicing and can demonstrate to the Board of Dental Examiners of Alabama good cause for being excused from the application of these rules, such excuses including but not limited to age, disability, illness, or disease. If such person returns to the active practice of dentistry/dental hygiene, then that person shall first be required to attend and complete continuing education courses or programs that would comply with these rules in a number of hours deemed appropriate by the Board of Dental Examiners of Alabama.

(f) Any dentist/dental hygienist who fails to comply with the provisions of these rules or who otherwise violates the Alabama Dental Practice Act in connection with the requirements of these rules or relating to any information to be maintained or submitted to the Board as provided for in these rules shall be assessed a non-disciplinary administrative penalty.

Author: Board of Dental Examiners

Statutory Authority: Code of Ala. 1975, §§34-9-2, 34-9-15, 34-9-18, 34-9-43.

History: Filed January 10, 1991; effective October 1, 1991.

Amended: Filed April 3, 1997; effective May 8, 1997. **Amended:** Filed September 4, 1998; effective October 11, 1998. **Amended:** Filed July 11, 2003; effective August 15, 2003. **Amended:** Filed August 11, 2004; effective September 15, 2004. **Amended:** Filed February 14, 2005; effective March 21, 2005. **Amended:** Filed March 15, 2006; effective April 19, 2006. **Amended:** Filed July 29, 2008; effective September 2, 2008. **Amended:** Filed February 22, 2012; effective March 28, 2012. **Amended:** Filed May 5, 2016; effective July 1, 2016; operative October 1, 2016.

Repealed and New Rule: Filed January 16, 2019; effective March 2, 2019. **Amended:** Published February 28, 2020; effective April 13, 2020.

270-X-4-.05 Infected Health Care Workers.

(1) The Board of Dental Examiners of Alabama adopts as its rule for the reporting, assessment and practice management of HBV and HIV infected health care workers the following: Chapter 420-4-3 (and all parts of that Chapter) entitled "Infected Health Care Workers" of the rules of the State Board of Health, Bureau of Disease Control adopted on November 16, 1994.

(2) All infected health care workers, as defined by law or the Rule referenced above, working in a dental office shall be required to conform to and comply with the provisions of Chapter 420-4-3 referenced above and this Rule. It is the responsibility of all currently licensed dentists/dental hygienists, dental assistants and all other personnel who provide or assist in the provision of dental/dental hygiene services to maintain familiarity with the provisions of Chapter 420-4-3 referenced above, this Rule and the Alabama Infected Health Care Worker Management Act.

(3) A copy of Chapter 420-4-3 entitled "Infected Health Care Workers" of the Rules of the State Board of Health, Bureau of Disease Control, the Policy and Procedures for compliance with the current recommendations and guidelines of the Centers for Disease Control and Prevention (C.D.C.) relating to infection control practices for dentistry and/or dental offices and Alabama's Infected Health Care Worker Management Act are available upon request from the Board.

Author: Board of Dental Examiners

Statutory Authority: Code of Ala. 1975, §§22-11A-70, 34-9-2, 34-9-15, 34-9-18, 34-9-43.

History: New Rule: Filed September 1, 1995; effective October 6, 1995. **Amended:** Filed March 24, 2004; effective April 28, 2004. **Amended:** Filed February 22, 2012; effective March 28, 2012.

270-X-4-.06 Limited Liability Companies.

(1) This rule is promulgated pursuant to the Alabama Limited Liability Act, Code of Ala. 1975, §10A-5, et seq. (hereinafter referred to as the "Act"). This rule is applicable to limited liability companies formed for the purpose of

rendering dental professional services by dentists licensed to practice dentistry in the State of Alabama.

(2) Any dentist or group thereof licensed to practice dentistry in the State of Alabama who desire to render dental professional services as a limited liability company shall comply with the provisions of the Act and this rule.

(3) The names of limited liability companies shall be governed by the provisions of Code of Ala. 1975, §10A-1-5.06. If the name of the limited liability company utilizes the name or names of the dentist(s) who are members or employees of the limited liability company, then such name shall include the designation "D.M.D." or "D.D.S." whichever is appropriate. In addition, the following requirements shall be applicable to limited liability companies.

(a) Practicing dentists forming or who formed a limited liability company may practice under a name other than the one(s) of the participating dentist(s) only if the following requirements are met:

(i) That the name of the participating dentist(s) shall appear following or beneath the name selected.

(ii) That the names so selected should not suggest a non-profit or charitable activity or be false, fraudulent, misleading or deceptive.

(iii) That the name of the dentist(s) and the words "Limited Liability company" or the abbreviation "L.L.C.", as required by Code of Ala. 1975, §10A-1-5-.06 shall be displayed with similar prominence as the name so selected.

(4) Every limited liability company organized for the rendering of dental professional services shall file with the Board of Dental Examiners of Alabama a certified copy of the Articles of Organization and any and all subsequent amendments to those articles, changes of members of the limited liability company or changes in the business address of the limited liability company. As to limited liability companies formed after the effective date of this Rule, the filing referenced above shall be made within thirty (30) days of the effective date of the instrument or document filed. As to limited liability companies in existence on the effective date of this Rule, the filings referenced above shall be made within thirty (30) days of that effective date.

(5) Every limited liability company governed by the provisions of the Act shall file with the Board of Dental Examiners of Alabama a certified copy of the Articles of Dissolution or Articles of Merger within thirty (30) days of the dissolution or merger.

(6) Dentists licensed to practice dentistry who render dental professional services as a limited liability company shall comply with the conditions, requirements and restrictions of Code of Ala. 1975, §10A-5-8.01. A limited liability company organized to render dental professional services, foreign or domestic, may render dental professional services in Alabama only through individuals licensed to practice dentistry in the state of Alabama.

(7) In addition to the requirements of Code of Ala. 1975, §10A-5-8.01(i), no interest may be transferred until there is presented to and filed with the limited company a certificate by the Board of Dental Examiners of Alabama stating that the individual to whom the transfer is made is licensed to practice dentistry in the State of Alabama.

(8) No employee of a limited liability company who is not licensed to practice dentistry shall participate in any decision constituting the practice of dentistry or shall interfere with the exercise of the independent professional judgment of a dentist in matters related to the practice of dentistry. In addition, a dentist's actions with respect to the practice of dentistry shall not be subject to the control of any individual not licensed to practice dentistry, including but not limited to those matters set forth in Code of Ala. 1975, §34-9-9(b).

(9) A foreign limited liability company rendering dental professional services in the State of Alabama shall, in addition to the requirements of Code of Ala. 1975, §10A-5-8.01, be subject to the following:

(a) All members or employees of a foreign limited liability company who render dental professional services in Alabama shall be dentists licensed to practice dentistry in the State of Alabama.

(b) Filing with the Board of Dental Examiners of Alabama the registration form required by Code of Ala. 1975, §10A-1-3.01, the Certificate of Formation referenced in Code of

Ala. 1975, §10A-1-3.05 and the Certificate of Withdrawal required by Code of Ala. 1975, §10A-1-7.11. These documents shall be filed within thirty (30) days of their filing with the Secretary of State. As to those foreign limited liability companies registered on the effective date of this Rule, the above referenced filings shall be made within thirty (30) days of that effective date.

Author: Board of Dental Examiners

Statutory Authority: Code of Ala. 1975, §§10-12-45, 34-9-43(2)

History: New Rule: Filed March 23, 2001; effective April 27, 2001. **Amended:** Filed February 22, 2012; effective March 28, 2012.

270-X-4-.07 **Registered Limited Liability Partnerships.**

(1) This rule is promulgated pursuant to the Alabama Limited Liability Partnership Act, Code of Ala. 1975, §10-A-5-8.01. This rule is applicable to professional registered limited liability partnerships formed for the purpose of rendering dental professional services by dentists licensed to practice dentistry in the State of Alabama.

(2) Any dentist licensed to practice dentistry in the State of Alabama who desire to render dental professional services as a professional registered limited liability partnership shall comply with the provisions of Code of Ala. 1975, §10-A-5-8.01 and this Rule.

(3) The names of professional registered limited liability partnerships formed by dentists shall be governed by the provisions of Code of Ala. 1975, §10A-1-5.06. If the names of the professional registered limited liability partnership utilizes the name or names of the dentists who are partners or employees of the professional registered limited liability partnership, then such name shall include the designation "D.M.D." or "D.D.S." whichever is appropriate. In addition, the following requirements shall be applicable to professional registered limited liability partnership.

(a) Practicing dentists forming or who formed a professional registered limited liability partnership may practice under a name other than the one(s) of the participating dentist(s) only if the following requirements are met:

1. That the name of the participating dentist(s) shall appear following or beneath the name selected.
2. That the name so selected should not suggest a non-profit or charitable activity or be false, fraudulent, misleading or deceptive.
3. That the name of the dentist(s) and the words "Registered Limited Liability Partnership" or the abbreviation "L.L.P.", as required by Code of Ala. 1975, §10A-1-5.07 shall be displayed with similar prominence as the name so selected.

(4) Every professional registered limited liability partnership organized for the rendering of dental professional service shall file with the Board of Dental Examiners of Alabama a certified copy of the Registration and any all amendments to the Registration, a complete list of the names and addresses of the partners, changes of the partners of the professional registered limited liability partnership or changes in the business address of the professional registered limited liability partnership.

As to professional registered limited liability partnerships formed after the effective date of this Rule, the filing referenced above shall be made within thirty (30) days of the effective date of the instrument or document filed. As to professional registered limited liability partnerships in existence on the effective date of the Rule, the filings referenced above shall be made within thirty (30) days of that effective date.

(5) Every professional registered limited liability partnership governed by the provisions of the Act shall file with the Board of Dental Examiners of Alabama a certified copy of a Termination Notice or Statement of Cancellation of Registration within thirty (30) days of the dissolution or cancellation.

(6) Dentists licensed to practice dentistry who render dental professional services as a professional registered limited liability partnership shall comply with the conditions and restrictions of Code of Ala. 1975, §10A-8-10.10. A professional registered limited liability partnership organized to render dental professional services, foreign or domestic, may render dental professional services in Alabama only through individuals licensed to practice dentistry in the state of Alabama.

(7) In addition to the requirements of Code of Ala. 1975, §10A-8-10.10(h), no interest may be transferred until there is presented to and filed with the professional registered limited liability partnership a certificate by the Board of Dental Examiners of Alabama stating that the individual to whom the transfer is made is licensed to practice dentistry in the State of Alabama.

(8) No employee of a professional registered limited liability partnership who is not licensed to practice dentistry shall anticipate in any decision constituting the practice of dentistry or shall interfere with the exercise of the independent professional judgment of a dentist in matters related to the practice of dentistry. In addition, a dentist's actions with respect to the practice of dentistry shall not be subject to the control of any individual not licensed to practice dentistry, including but not limited to those matters set forth in Code of Ala. 1975, §34-9-9(b).

(9) A foreign professional registered limited liability partnership rendering dental professional services in the State of Alabama shall, in addition to the requirements of Code of Ala. 1975, §10A-8-10.10, be subject to the following:

(a) All partners or employees of a foreign professional registered limited liability partnership who render dental professional services in Alabama shall be dentists licensed to practice dentistry in the State of Alabama.

(b) Filings with the Board of Dental Examiners of Alabama the registration form required by Code of Ala. 1975, §10A-8-10.06 and the withdrawal notice referenced in Code of Ala. 1975, §10A-8-10.06. Both these documents shall be filed within thirty (30) days of their filing with the Secretary of State. As to those foreign professional registered limited liability partnership registered on the effective date of this Rule, the above referenced filings shall be made within thirty (30) days of that effective date.

Author: Board of Dental Examiners

Statutory Authority: Code of Ala. 1975, §§10A-1-5-.06, 10A-1-5-.07, 10A-5-8-.01, 10A-8-10.06, 10A-8-10-.10, 34-9-43.

History: New Rule: Filed March 23, 2001; effective April 27, 2001. **Amended:** Filed February 22, 2012; effective March 28, 2012. **Amended:** Filed January 16, 2013; effective February 20, 2013.

270-X-4.08 Advertising.

(1) A dentist shall not make or cause to be made a false communication about the dentist or the dentist's services. A communication is false if it contains a material misrepresentation of fact or law.

(2) A communication is defined as information in any manner or medium designed or intended to attract public attention to the dentist or his/her practice and shall include any "advertisement" as that term is defined in Code of Ala. 1975, §34-9-19.

(3) Any/all advertisements for a dental practice/organization must include at least one dentist's name (working within the practice/organization) and/or the practice/organization name.

Author: James S. Ward

Statutory Authority: Code of Ala. 1975, §§34-9-19(j), 34-9-43(1).

History: New Rule: Filed August 11, 2004; effective September 15, 2004. **Repealed and New Rule:** Filed

July 21, 2009; effective August 25, 2009. **Amended:** Filed February 22, 2012; effective March 28, 2012.

270-X-4-.09 Criteria And Fees For Mobile Dental Facilities Of Portable Dental Operations.

(1) All applications for a Certificate of Registration to operate a mobile dental facility or portable dental operation must be complete and comply with all the requirements of Code of Ala. 1975, §34-9-6.1. The required initial application or renewal will not be acted upon if not fully completed. The initial application or any renewal must be forms approved by the Board.

(2) No initial Certification of Registration to operate a mobile dental facility or portable dental operation shall be issued until there has been an inspection by the Board or its authorized representative. If there is a determination as a result of the inspection that the application will not be granted, a list of the deficiencies noted shall be provided to the applicant within ten (10) days from the date of the

inspection. If the applicant desires another inspection, a request must be made in writing to the Board.

(3) No Certificate of Registration or renewal thereof shall be issued until the required fee is paid.

(4) The fee for the initial Certificate of Registration and the required inspection shall be an amount to be determined by the Board. In the event of an unsuccessful inspection, the applicant will be required to submit an additional amount to be determined by the Board for re-inspection.

(5) The fee for renewal of the Certificate of Registration shall be an amount to be determined by the Board.

(6) Any and all Mobile Dental Facilities/Portable Dental Operations must comply with applicable provisions of the Americans with Disabilities Act.

Author: James S. Ward

Statutory Authority: Code of Ala. 1975, §§34-9-6.01, 34-9-16, 34-9-43(10).

History: New Rule: Filed July 24, 2009; effective August 28, 2009. **Amended:** Filed September 20, 2010; effective October 25, 2010. **Amended:** Filed February 22, 2012; effective March 28, 2012.

270-X-4-.10 Registration Of A 501(c)(3) Dental Clinic.

(1) Every 501(c)(3) nonprofit entity that operates one or more dental clinics must register with the Board. Registration shall consist of completing the registration form for each clinic operated by the 501(c)(3) entity. The information required on the registration form shall be the following:

(a) Name, address, phone number and after hours contact information of the 501(c)(3) entity.

(b) The name and contact information of the Chief of Dental Services of the 501(c)(3) entity if the 501(c)(3) entity is operating multiple clinics.

(c) Name, address, phone number and after hours contact information for each clinic operated by the 501(c)(3) entity.

(d) A list of all licensed dentists and hygienists that work for the 501(c)(3) entity. All licensees must have all applicable licenses and registrations as required by this chapter. Any changes to the employment/volunteer status of these licensees must be reported to the Board within thirty (30) days.

(e) A copy of the Articles of Incorporation of the 501(c)(3) entity.

(f) A valid copy of the IRS Determination Letter of the 501(c)(3) entity.

Author: Susan F. Wilhelm

Statutory Authority: Code of Ala. 1975, §§34-9-7.2, 34-9-15, 34-9-17.

History: New Rule: Filed November 6, 2012; effective December 11, 2012. **Amended:** Filed March 3, 2015; effective April 7, 2015.