

TRANSMITTAL SHEET FOR  
NOTICE OF INTENDED ACTION

Control No. \_\_\_\_\_ Department or Agency: Office of Indigent Defense Services

Rule No. 355-9-1-.10

Rule Title: Caseload Management Standards

New  Amend  Repeal  Adopt by Reference

Would the absence of the proposed rule significantly harm or endanger the public health, welfare, or safety? NO

Is there a reasonable relationship between the state's police power and the protection of the public health, safety, or welfare? YES

Is there another, less restrictive method of regulation available that could adequately protect the public? NO

Does the proposed rule have the effect of directly or indirectly increasing the costs of any goods or services involved and, if so, to what degree? NO

Is the increase in cost, if any, more harmful to the public than the harm that might result from the absence of the proposed rule? NO

Are all facets of the rulemaking process designed solely for the purpose of, and so they have, as their primary effect, the protection of the public? YES

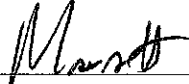
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Does the proposed rule have an economic impact? NO

If the proposed rule has an economic impact, the proposed rule is required to be accompanied by a fiscal note prepared in accordance with subsection (f) of Section 41-22-23, Code of Alabama 1975.

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Certification of Authorized Official

I certify that the attached proposed rule has been proposed in full compliance with the requirements of Chapter 22, Title 41, Code of Alabama 1975, and that it conforms to all applicable filing requirements of the Administrative Procedure Division of the Legislative Reference Service.

Signature of certifying officer 

Date 9/16/15

(DATE FILED)  
(STAMP)

DEPARTMENT OF FINANCE  
Office of Indigent Defense Services

**NOTICE OF INTENDED ACTION**

AGENCY NAME: Department of Finance – Office of Indigent Defense Services

RULE NO. & TITLE:

- (1) 355-9-1-.10 – Caseload Management Standards
- (2) 355-9-1-.11 – Minimum Qualifications and Requirements for a Public Defender
- (3) 355-9-1-.12 – Standards for Establishing Contract Counsel System

INTENDED ACTION: New Rules for OIDS

SUBSTANCE OF PROPOSED ACTION: The new rules (1) establish caseload standards for attorneys providing indigent defense services, (2) establishes and sets out the minimum qualifications for a Public Defender, (3) establishes and sets out standards for implementing a contract counsel system.

TIME, PLACE, MANNER OF PRESENTING VIEWS:

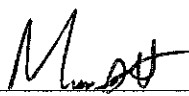
Views on this intended action may be presented by telephone to Mose Stuart at (334) 242-4516. Written views may be submitted to:

Mose Stuart  
Department of Finance – Legal Division  
Third Floor, State Capitol Building  
Montgomery, Alabama 36130  
By email: [mose.stuart@finance.alabama.gov](mailto:mose.stuart@finance.alabama.gov)

FINAL DATE FOR COMMENT AND COMPLETION OF NOTICE:

Thursday, November 5, 2015

CONTACT PERSON AT AGENCY: Mose Stuart

  
\_\_\_\_\_  
Mose Stuart  
Senior Associate Counsel

**355-9-1-10 Caseload Management Standards.** It is the policy of OIDS, in accordance with the Act, that the caseload of counsel providing legal services to indigent defendants should allow each lawyer to give each client the time and attention necessary to ensure effective representation. In order to assure that caseloads are managed adequately so that the quality of legal representation for indigent criminal defendants is not compromised, the following caseload standards are adopted for those attorneys representing indigent criminal defendants, and should not be exceeded:

- (a) Two hundred (200) felony cases per attorney per year; OR
  - (b) Four hundred (400) misdemeanor and traffic offense cases per attorney per year;
- OR
- (c) Two hundred (200) juvenile offender cases per attorney per year; OR
  - (d) One hundred (100) open juvenile dependency cases per attorney per year; OR
  - (e) One hundred (100) GAL cases per attorney per year; OR
  - (f) Thirty-six (36) appeals to an appellate court considering a case on a record and on briefs per attorney per year.

It is expected that an attorney will handle cases in more than one of the types set out in (a) through (f), above. However, in any year, an attorney should not accept a caseload of any combination of the types set out above that, due to the volume of cases, compromises the ability of the attorney to render quality representation.

**Author:** C. Roberts

**Authority:** Code of Ala. 1975, § 41-4-322(h).

**History:** Filed September 16, 2015